



## **PRIVACY POLICY AND NOTICE**

### **How and Why We Obtain Information:**

Chesapeake Investment Group, Inc., a wholly owned subsidiary of Chesapeake Financial Shares, Inc. “Chesapeake” collects information about you to help us serve your financial needs, provide client service, offer new products or services, and fulfill legal and regulatory requirements. Any collection of personal information is to support our normal business operations and service your account.

Chesapeake collects non-public personal, financial and health information about you from information we receive from you. The sources and the information collected may include:

- Information on applications and related forms such as name, address, Social Security number, assets and income, (medical information if applying for insurance);
- Information regarding your transactions with us such as, products or services purchased, account balances and payment history;
- Information from consumer reporting agencies such as credit relationships;
- Information from your employer, association or benefit plan sponsor such as name, address, Social Security number, assets and income.

### **Why am I receiving this notice?**

You are receiving this notice as a client or prospect of Chesapeake Investment Group, Inc. We must also provide this statement to all clients on an annual basis. This notice describes the policies and practices of Chesapeake Investment Group, Inc. Chesapeake Investment Group, Inc. is affiliated with Chesapeake Financial Shares, Inc., Chesapeake Bank, Chesapeake Investment Services, Chesapeake Financial Group and Chesapeake Trust Company.

### **Sharing of Information**

Chesapeake does not disclose non-public, personal information about you to any unaffiliated organizations or individuals except to provide for account opening, servicing and regulatory compliance required for your account(s). We may share information collected, as previously described above, to other unaffiliated service providers such as insurance companies, mutual fund companies, banks, broker-dealers or investment firms to provide account maintenance or service to your account. We may also disclose your information to other organizations such as government agencies and law enforcement officials (for example, tax reporting or under court order) or other organizations and individuals with your consent (for example, attorney or tax professional).

### **Protecting Your Information**

Chesapeake maintains physical, electronic, and procedural safeguards to guard your non-public personal information to ensure we are complying with our own policy, industry practices, and federal or state regulations. If you decide to close your account(s) or become an inactive client, we will still adhere to the privacy policies and practices as described in this notice.